Chapter 260-12 WAC

GENERAL RULES

WAC

260-12-001 Intent. The rules adopted by the Washington horse racing commission will be known as the "rules of racing." They have been compiled with the intent to promote integrity in racing and to encourage the breeding and ownership of horses in this state.

260-12-010 Definitions. The definitions in this section apply throughout these rules unless the context requires otherwise.

(a) "Added money." Money added to the purse of a race by the association, or other fund, in the amount paid by owners for nominations, entry, and starting fees.

(2) "Allowance race." An overnight race for which there is no claiming price established.

(3) "Also eligible." (a) A number of eligible horses, properly entered, which were not drawn for inclusion in a race, but which become eligible according to preference or lot if an entry is scratched prior to the scratch time deadline; or

(b) In a trial race, the next preferred contestant that is eligible to participate when an entry is scratched, pursuant to the written conditions of the race.

(4) "Apprentice jockey." A jockey who has not won a certain number of races within a specific period of time who is granted an extra weight allowance as provided in WAC 260-32-370(9).

(5) "Apprentice allowance." A five pound weight allowance given to an apprentice jockey.

(6) "Authorized agent." A person appointed by a written document signed by the owner with authority to act for the owner.

(7) "Assistant trainer." A person employed by a licensed trainer whom has the authority to represent the trainer in all racing matters. An assistant trainer may also perform all the duties of a groom.

(8) "Association." Any person or persons, associations, or corporations licensed by the commission to conduct pari-mutuel wagering on a race meet.

(9) "Association employee." Any person hired by a racing association.

(10) "Association grounds." All real property utilized by the association in the conduct of its race meeting, including the race track, grandstand, concession stands, offices, barns, stable area, and parking lots and any other areas under the jurisdiction of the commission.

260-12-200 Number of races per day. [Statutory Authority: RCW 67.16.020 and 67.16.040. WSR 82-07-016 (Order 82-02), § 260-12-200, filed 3/9/82; Rules of racing, § 322, filed 4/21/61.] Repealed by WSR 07-11-114, filed 5/18/07, effective 6/18/07. Statutory Authority: RCW 67.16.020 and 67.16.040.

260-12-240 Commission to approve distribution of passes, etc. [Rules of racing, § 327, filed 4/21/61.] Repealed by WSR 07-11-114, filed 5/18/07, effective 6/18/07. Statutory Authority: RCW 67.16.020 and 67.16.040.

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(11) "Bar shoe." A special shoe with a solid bar that runs across the rear of the shoe for extra protection.
(12) "Barn superintendent." An association employee who is responsible to assign stalls and maintain records of number of horses in a trainer's care on a daily basis.
(13) "Bit." The metal mouthpiece on a bridle used to guide and control a horse.
(14) "Bleeder." A horse that demonstrates exercise induced pulmonary hemorrhaging.
(15) "Blinkers." A hood with different size cups to limit the peripheral vision of a horse.
(16) "Breakage." The remaining cents after parimutuel payoffs are rounded down to a dime or nickel.
(17) "Breeder." For thoroughbreds, the breeder is the owner of the horse's dam at the time of foaling. For quarter horses, appaloosas, arabians and paint horses, the breeder is the owner of the dam at the time of service.
(18) "Cheek pieces." Two pieces of sheepskin or other material which are attached to the cheek pieces of a bridle which may restrict vision.
(19) "Claiming." The act of buying a horse out of a race for a specific price.
(20) "Claim box." A box in a specified location where a claim must be deposited to be valid.
(21) "Claiming race." Races in which horses are entered subject to being claimed for a specified price.
(22) "Clerk of scales." An official who weighs the jockeys prior to and after each race.
(23) "Clocker." An official that times horses when horses are performing an official workout.
(24) "Colors." Racing silks with owners' distinct designs and color worn by jockeys while racing.
(25) "Colt." Male horse under the age of five.
(26) "Commission." (a) The three-member commission established by RCW 67.16.012; or (b) The state agency known as the Washington horse racing commission.
(27) "Condition book." A book issued by the racing secretary with specific eligibility conditions for scheduled races.
(28) "Coupled entry." Two or more horses running as a single betting interest for parimutuel wagering purposes.
(29) "Daily double." Type of wager calling for the selection of the winner of two consecutive races.
(30) "Dead heat." Two or more horses in an exact tie at the finish line.
(31) "Denial." The refusal to grant an applicant a license after the applicant has made application for a license, but prior to the individual performing the duties associated with the license.
(32) "Eligible." A horse that is qualified to start in a race as established by the racing secretary's conditions.
(33) "Engagement." A commitment given by a jockey or his/her agent to accept a mount in a specified race.
(34) "Entry." (a) A horse eligible for and entered in a race. (b) Two or more horses which are entered or run in a race with common ownership.
(35) "Equipment." Tack carried or used on a racehorse including whips, blinkers, tongue ties, muzzle, nosebands, bits, shadow rolls, martingales, breast plates, bandages, boots and plates.
(36) "Exacta." A wager involving selecting the first two finishers in a race in exact order.
(37) "Exercise rider." A person licensed by the commission to ride horses for the purpose of exercising. Exercise riders working at a race track must be licensed as "Exercise rider - track," while those working at the farm or training centers must be licensed as "Exercise rider - farm" if the trainer wishes to provide their employee industrial insurance coverage under the horse industry account.
(38) "Field." The total horses scheduled to run in a race.
(39) "Filly." A female horse four years and younger.
(40) "Front leg wraps." Bandages that extend at least four inches up the horse's front legs for support.
(41) "Furlong." One-eighth of a mile, two hundred twenty yards, or six hundred sixty feet.
(42) "Furosemide." Generic term for a medication used for the treatment of bleeders.
(43) "Furosemide list." A list of horses maintained by the official veterinarian eligible to race in this jurisdiction on furosemide.
(44) "Gelding." A male horse that has been castrated.
(45) "Groom." A person licensed by the commission who is employed by a licensed trainer to care for the trainer's horses.
(46) "Handicap." (a) A race in which the racing secretary designates the weight to be carried for each horse.
(b) Making wagering selections on the basis of a horse's past performances.
(47) "Handle." Total amount of money wagered in the parimutuel pool for a race, race card, or a race meet.
(48) "Horse." (a) A registered filly, mare, colt, horse, gelding or ridgling of a breed that is eligible to race in the state of Washington.
(b) Any male horse five years old or older.
(49) "Intact male." Any male horse, colt, or ridgling.
(50) "Inquiry." A review of a race conducted by the board of stewards to determine if a racing violation was committed.
(51) "Jockey." A person licensed by the commission to ride a horse in a race meet, whether a jockey or an apprentice jockey.
(52) "Jockey fee." The money paid to a jockey for riding in a race.
(53) "Maiden." A horse, which at the time of starting in a race, has never won a race on the flat in any country, at a track which is covered by a recognized racing publication showing the complete results of the race. A maiden who has been disqualified after finishing first is still considered a maiden.
(54) "Mare." A female horse five years old or older.
(55) "Minus pool." A mutuel pool caused when one horse is heavily bet and after all mandatory deductions there is not enough money in the pool to pay the legally prescribed minimum on each winning wager.
(56) "Morning line." A handicapper's approximate odds quoted in the program.
(57) "Mutuel field." A group of horses, with no common ties, coupled by the association for wagering purposes in a single race.

(58) "Net pool price calculations." The method of calculating the parimutuel pools when international pools are conducted (WAC 260-48-800).

(59) "Nerved" or "heel nerved." A horse upon which a digital neurectomy has been performed.

(60) "Nomination." The naming of a horse to a certain race or series of races generally accompanied by payment of a prescribed fee.

(61) "Objection." When a claim of foul is lodged by a jockey, owner, or trainer following the running of the race.

(62) "Official." (a) When the board of stewards has determined that the order of finish of a race is correct for the mutuel payouts.

(b) An individual designated to perform functions to regulate a race meet.

(63) "Off-track betting." Parimutuel wagering on horse races conducted at a location other than the racing association's grounds, often referred to as a satellite location.

(64) "Optional claiming race." A race offered in which horses may be entered either for a claiming price or under specific allowance conditions.

(65) "Overnight race." A contest for which entries close at a time set by the racing secretary.

(66) "Overweight." Extra weight carried by the jockey that is greater than the listed weight in the official program.

(67) "Owner." Any person licensed by the commission with an ownership interest in a horse, including a lessee. An interest only in the winnings of a horse does not constitute part ownership.

(68) "Owners' bonus." A percentage of the gross mutuel pool the association is required by RCW 67.16.102 to withhold to be paid to owners of Washington bred horses at the conclusion of the meet based on the owner's horse finishing first, second, third or fourth.

(69) "Paddock." Enclosure or area where horses are saddled prior to the post parade.

(70) "Paddock judge." An official who monitors the saddling of the horses before a race to ensure consistent equipment on each horse and supervises the paddock.

(71) "Penalty weight." Additional weight to be carried by the horse as stated in the condition book.

(72) "Pick n." A type of wager requiring the patron to select the winners of a specified number of consecutive races.

(73) "Pick three." A type of wager requiring the patron to select the winners of three consecutive races.

(74) "Place." To finish second in a race.

(75) "Poles." Markers positioned around the track indicating the distance to the finish line.

(76) "Pony rider." A person licensed by the commission to escort horses either in the morning during training or in the afternoon during racing. A pony rider may not exercise horses. Pony riders working at a race track must be licensed as "Pony rider - track," while those working at the farm or training centers must be licensed as "Pony rider - farm" if the trainer wishes to provide their employee industrial insurance coverage under the horse industry account.

(77) "Post." The starting position on the track.

(78) "Post parade." Horses passing in front of the stewards stand and public prior to warming up for the race.

(79) "Post position." Position assigned to the horse to break from the starting gate determined by lot at the time of the draw of the race.

(80) "Post time." The scheduled time for the horses to arrive at the starting gate for a race.

(81) "Program/paper trainer." A licensed trainer who, solely for the purposes of the official race program, is identified as the trainer of a horse that is actually under the control of and trained by another person who may or may not hold a current trainer's license.

(82) "Purse." The amount of prize money offered by the racing association for each race.

(83) "Protest." A complaint filed regarding a horse running in a race that is filed in writing with the board of stewards.

(84) "Quinella." A wager in which the patron selects the first two finishers regardless of order.

(85) "Race meet." The dates of live horse racing that have been approved by the commission. (Also refer to RCW 67.16.010.)

(86) "Racing plates." Shoes designed for racehorses, usually made of aluminum.

(87) "Racing secretary." An official who drafts conditions of each race and accepts entries and conducts the post position draw of the races.

(88) "Receiving barn." Structure where horses may be identified prior to proceeding to the paddock.

(89) "Recognized race meet." Any race meet involving parimutuel wagering held under the sanction of a racing authority.

(90) "Retired horse." A horse that at the time of sale or gift is no longer fit to race. No retired horse is eligible to run in a race under the jurisdiction of the commission.

(91) "Revocation." The cancellation of an existing license for a minimum of three hundred sixty-five days and up to an indefinite period of time (e.g., life-time). Individuals revoked are ineligible for a license during the period of revocation. Individuals revoked are banned from all facilities under the jurisdiction of the commission during the period of their revocation.

(92) "Ridgling." A male horse with one or both testicles undescended.

(93) "Scale of weights." Fixed weight assignments to be carried by horses according to age, sex, distance, and time of year.

(94) "Scratch." Withdrawing an entered horse from the race after the closing of entries.

(95) "Scratch time." The established deadline for the withdrawal of entries from a scheduled performance.

(96) "Sex allowance." Weight allowance given to fillies and mares when competing against males.

(97) "Show." To finish third in a race.

(98) "Simulcast." Broadcasting a live race from another racing association for purposes of parimutuel wagering on that race, or sending a broadcast of a live race to another racing association for purposes of parimutuel wagering on that race.

(99) "Spouse groom." The spouse of a trainer, licensed by the commission and permitted to perform all the duties of

(2/16/16)
To whom rules apply. (1) The rules of racing apply to all persons, associations, partnerships, or corporations holding or conducting a race meet within the state of Washington where the parimutuel wagering system is used.

(2) These rules also apply to any participant in, or patron of, any race meet.

Every license is conditioned on licensee enforcing and observing the rules of racing. Every license to hold a race meet is granted upon the condition that the licensee will accept, observe, and enforce the rules of racing. It is the duty of each officer, director, and every official and employee of the licensee to comply with the rules of racing and to report violations to the commission.

Every race dates required to be submitted for approval? Racing associations must submit their proposed live race dates to the executive secretary by February 1st of each year. The commission will consider and determine whether to approve the dates at the next regularly scheduled commission meeting. The commission may extend, reduce or otherwise modify the race dates.

The commission may refuse to issue license—Criteria. The commission may refuse to issue a license to conduct a race meet. The commission will consider the following factors in making its decision:

(1) Opportunity for the sport to properly develop;
(2) Avoidance of competition with established tracks;
(3) Extent of community support for the promotion and continuance of the tracks;
(4) The character and reputation of the individuals identified in the license application; and
(5) Any other relevant factors.

[Statutory Authority: RCW 67.16.020 and 67.16.040. WSR 07-11-114, § 260-12-080, filed 5/18/07, effective 6/18/07; Rules of racing, § 12, filed 4/21/61.]

WAC 260-12-080 Assignment of license—Racing days. No license or any part of a license is transferable or assignable in any manner without the prior approval of the commission.

[Statutory Authority: RCW 67.16.020 and 67.16.040. WSR 07-11-114, § 260-12-080, filed 5/18/07, effective 6/18/07; Rules of racing, § 9, filed 4/21/61.]

WAC 260-12-100 Laws and rules supersede race conditions. The laws of Washington and the rules promulgated by the commission supersede the conditions of a race.

[Statutory Authority: RCW 67.16.020 and 67.16.040. WSR 07-11-114, § 260-12-100, filed 5/18/07, effective 6/18/07; Rules of racing, § 11, filed 4/21/61.]

WAC 260-12-110 Commission’s right of entry. Members of the commission, its officials, and employees have the right of full and complete entry to any and all parts of the association grounds.

[Statutory Authority: RCW 67.16.020 and 67.16.040. WSR 07-11-114, § 260-12-110, filed 5/18/07, effective 6/18/07; Rules of racing, § 12, filed 4/21/61.]

WAC 260-12-115 Parimutuel equipment subject to approval. All equipment, used within the parimutuel department for the sale, calculation, display of odds, or cashing of tickets, is subject to the approval of the commission.

[Statutory Authority: RCW 67.16.020 and 67.16.040. WSR 07-11-114, § 260-12-115, filed 5/18/07, effective 6/18/07; Order 77.1, § 260-12-115, filed 4/22/77.]

WAC 260-12-120 Commission offices and personnel. Each association must provide within its grounds an office for the use of the commission, its officials and employees.

[Statutory Authority: RCW 67.16.020 and 67.16.040. WSR 07-11-114, § 260-12-120, filed 5/18/07, effective 6/18/07; Rules of racing, § 13, filed 4/21/61.]

WAC 260-12-145 Persons bound by laws and rules. Any person on the grounds of any racing association or satellite location under the jurisdiction of the commission must comply with the laws of Washington and the rules promulgated by the commission.

[Statutory Authority: RCW 67.16.020. WSR 07-01-053, § 260-12-145, filed 12/14/06, effective 1/14/07.]

WAC 260-12-150 Denial of admission to grounds—Suspended persons and horses. A person who is denied, suspended, or revoked by another recognized racing jurisdiction may not be admitted to the grounds of any racing association in Washington. A horse owned or trained by a person who is denied, suspended, or revoked may not be allowed on the grounds.

[Statutory Authority: RCW 67.16.020 and 67.16.040. WSR 07-11-114, § 260-12-150, filed 5/18/07, effective 6/18/07; Rules of racing, § 18, filed 4/21/61.]

WAC 260-12-180 Safety equipment required. (1) When on association grounds, all persons on horseback must wear a securely fastened safety helmet that meets current standards for equipment designed and manufactured for use while riding horses as established by the American Society for Testing and Materials (ASTM 1163), UK Standards (EN-1384 and PAS-015); or, Australian/New Zealand Standard (AS/NZ 3838).

(2) All persons on horseback must wear a securely fastened safety vest that is designed to provide shock-absorbing protection of:
(a) British Equestrian Trade Association (BETA):2000 Level 1.
(b) American Society for Testing and Materials (ASTM 1163) F2681-08 or 1937 (Specification for Body Protectors Used in Horse Sports and Horseback Riding).
(c) Euro Norm (EN) 13158:2000 Level 1.
(d) Shoe and Allied Trade Research Association (SATRA) Jockey Vest Document M6 Issue 3.
(e) Australian Racing Board (ARB) Standard 1.1198.
(3) All persons on horseback must wear equestrian footwear that covers the rider's ankle with a minimum of a 1/2 inch heel, except jockeys while riding in a race who must wear jockey boots as required by WAC 260-32-100.
This rule does not apply to nonracing related events conducted for entertainment purposes. Safety equipment for such entertainment events shall be at the discretion of the racing association.


WAC 260-12-210 Post time of first race. The commission will approve the post time of the first race of each race day for each licensed race meet.

[Statutory Authority: RCW 67.16.020 and 67.16.040. WSR 07-11-114, § 260-12-210, filed 5/18/07, effective 6/18/07; Rules of racing, § 323, filed 4/21/61.]

WAC 260-12-220 Race conditions to be provided. Each association conducting racing in Washington must provide the stewards a copy of the conditions of races the association proposes to hold, together with the stakes schedule.

[Statutory Authority: RCW 67.16.020 and 67.16.040. WSR 07-11-114, § 260-12-220, filed 5/18/07, effective 6/18/07; Rules of racing, § 324, filed 4/21/61.]

WAC 260-12-230 Information to be filed before opening a race meet. No less than ten days before opening of a race meet each association licensed to conduct a race in Washington must file with the commission:
(1) A financial statement of the association.
(2) A list of stockholders and the amount of stock held by each. Any change in the officers or stockholders, or in the holdings of any individual stockholder of an association must be reported to the commission immediately. This rule will apply during the entire term of any license granted by the commission.

The commission may require additional information in writing from the association, or it may ask the officers of any association to appear in person to provide additional information.

WAC 260-12-235 Accepted conditions of race meeting. The association is obligated to conduct parimutuel racing, except in the case of emergencies, on each race date allocated. The commission must approve any change in race dates. In the case of emergencies the stewards may authorize cancellation of all or a portion of any race day. The executive secretary may temporarily approve changes in the conditions of the race meet including, but not limited to, post time and additional days pending final approval of the commission at the next regularly scheduled meeting.

WAC 260-12-250 Problem gambling information sign must be posted. All Class A, B and C licensees, including satellite locations, must post problem and compulsive gambling informational signs, which contain a toll-free help line number in locations of their establishments. The informational signs must be clearly visible to patrons, and must remain posted whenever parimutuel wagering is authorized. The informational signs will be provided to the licensee by the horse racing commission.

WAC 260-12-260 Disposition of Class C purse funds due to the cancellation of races. (1) In the event a Class C race meet cancels races (due to weather or the inability to fill races) the unused purse funds provided under RCW 67.16.105 may, with approval, be used by the racing association to adjust purses for other races at the licensed race meet for which the purse funds were provided. If only one race is canceled per day, the stewards may approve an adjustment of purses. If more than one race is canceled, approval to adjust purses must come from the executive secretary.

(2) The racing association must return the unused purse funds if adjustment of purses is not appropriate (for example - only one race is held during the race meet).

(3) If the entire Class C race meet is canceled or if an adjustment to purses is not appropriate, all undistributed purse funds provided under RCW 67.16.105 must be returned to the commission within thirty days of cancellation of the race meet.