



Developmental Disabilities Employment and Day Services Proposed Final Report

Joint Legislative Audit & Review Committee

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Legislative Mandate

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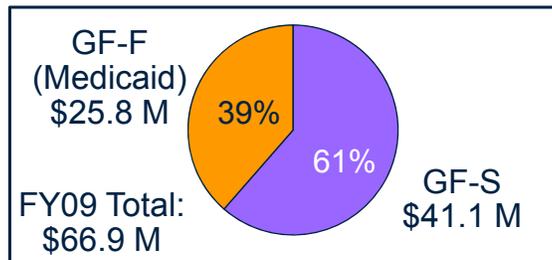
- 2009-11 Operating Budget (ESHB 1244)
- Examine the DSHS Division of Developmental Disabilities (Division) employment and day (E&D) services administered by the counties.
 - Review focused on contracts and payments.
- Research best practices for developmental disability outcome-based contracts.

DSHS Developmental Disabilities Employment and Day Services



E&D Services Overview

- One of several services offered through the DSHS Division of Developmental Disabilities.
- 34 counties and one DSHS Region administer services for all 39 counties.



What We Found



Findings

- Current county payment schedules for service providers are not directly comparable across counties.
- Multiple levels of oversight and monitoring between county, region, and state.
- DSHS and administering counties have processes that address accountability and oversight.

Findings Indicate Control Problems



Findings

- Control problems could be addressed to reduce potential future risk.
 - DSHS contract with counties
 - Monitoring of county subcontracts and subcontractors
 - Data entry process for payments
- Five recommendations for Division of Developmental Disabilities.

Research on Best Practices for Outcome-Based Contracts



Research on Best Practices

- Legislature directed Division to develop a new outcome-based contract for E&D services by April 2011 and asked JLARC to research best practices for outcome-based contracts.
- Based on research conducted, we did not find best practices.
 - The outcome-based contract approach is new for developmental disabilities nationwide.

Recommendation 1: Improve State Contracting and Monitoring



Recommendation 1

The Division of Developmental Disabilities should:

- a) Develop a provider monitoring provision in the County Program Agreement for counties that provide employment and day services with county employees; and
- b) Clarify in the County Program Agreement the qualifications for a coordinator in counties where county employees provide all direct services.

DSHS concurs, WA State Association of Counties (WSAC) on behalf of administering counties supports, OFM has no comments

Recommendation 2: Require Appropriate County Signature



Recommendation 2

The Division of Developmental Disabilities should obtain the signature of an appropriate employee of Okanogan County for the County Program Agreement.

DSHS concurs, WSAC on behalf of administering counties supports, OFM has no comments

Recommendation 3: Improve Monitoring of Subcontracts



Recommendation 3

The Division should incorporate into the Division's county monitoring process a systematic review of subcontracts for compliance with the DSHS contracts. The Division should also bring the counties and subcontracts that are currently out of compliance into compliance.

DSHS concurs, WSAC on behalf of administering counties supports, OFM has no comments

Recommendation 4: Improve County On-Site Monitoring of Providers



Recommendation 4

The Division of Developmental Disabilities should review county on-site monitoring of subcontractors to ensure compliance with the County Program Agreement.

DSHS concurs, WSAC on behalf of administering counties supports, OFM has no comments

Recommendation 5: Address State Accounting System Data Entry Errors



Recommendation 5

The Division of Developmental Disabilities should prepare and implement a plan to improve the process used to update data in the state accounting system (AFRS) and the Case Management Information System (CMIS) and the process used to transfer data from CMIS to AFRS.

DSHS concurs, WSAC on behalf of administering counties supports, OFM has no comments

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