# A Stakeholder's Guide to the JOINT LEGISLATIVE AUDIT **& REVIEW COMMITTEE**

WASHINGTON

# JLARC: THE LEGISLATURE'S AUDITOR

#### We answer the Legislature's questions The Legislature sets policy – JLARC evaluates how well programs deliver on it.

#### We are the Legislature's performance auditors JLARC has been providing this service since 1973.

JLARC and the position of Legislative Auditor are established in statute (Chapter 44.28 RCW).

#### We give the Legislature accurate and unbiased answers to their questions

Answers are prepared independently by non-partisan staff, in accordance with professional audit standards.

The Committee, with 8 Senators and 8 Representatives evenly split between the parties, helps ensure this independence.



### **QUESTIONS RECENTLY ASKED OF JLARC**

### Tax incentives

Is a tax incentive on trade-in vehicles stimulating enough new car sales to offset lost revenue to the state?

#### State government

Does the state appropriately identify services for outsourcing, and is the process consistent with statute?



#### Social services

Are programs serving homeless youth achieving their intended goals?

# Natural resources

Does the state need to purchase land that is already being regulated?

#### Labor and employment

Budget, legislation, committee direction

 $\rightarrow O$  Committee votes to distribute

completed audit

Is a program that provides training benefits to unemployed workers helping them find higher paying jobs?

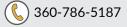
#### FOR MORE INFORMATION ON **JLARC'S WORK**



- Visit our website at www.jlarc.leg.wa.gov to view
  - The Legislative Auditor's Annual Report
  - JLARC's Work Plan
  - All JLARC Studies

#### CONTACT

#### Keenan Konopaski, Legislative Auditor



SENATE

keenan.konopaski@leg.wa.gov

**Bob Hasegawa** Mark Mullet, Chair Rebecca Saldaña Shelly Short

Dean Takko Keith Wagoner Lynda Wilson, Secretary

Jake Fey HOUSE **Noel Frame** Larry Hoff Christine Kilduff

JLARC COMMITTEE MEMBERS

Vicki Kraft Ed Orcutt, Vice Chair Gerry Pollet, Assistant Secretary **Drew Stokesbarv** 

Legislative Auditor's Preliminary Report Legislative Auditor's Proposed Final Report Agency response included **Final Report** Option to append committee comment

#### STUDY PROCESS Study Mandate

**Proposed Study Questions** 



WASHINGTON

# Statute gives JLARC staff broad authority to access data and documents

RCW 44.28.110 requires agencies to provide JLARC staff with access to any data or documents requested as part of the study. Agency staff must share information, even if it may be considered confidential.

# JLARC staff will maintain the confidentiality of non-public data

- JLARC's access to records does not change their confidential nature.
- As required by RCW 44.28.110(2), the committee and staff will respect any existing confidentiality requirements.
- Agencies should tell JLARC staff when such requirements exist.

#### JLARC staff may access:

any confidential records needed to discharge the Joint Committee's performance audit duties.

- (RCW 44.28.110(2))

#### What about the Institutional Review Board?

JLARC is exempt from the requirements of the Board (RCW 42.48.060).

What about federal requirements, such as Family Educational Rights and Privacy Act and Health Insurance Portability and Accountability Act?

We recognize the constraints of, and have experience working with, data that is subject to both laws. We will work with you to ensure that federal requirements are met.

# The Legislature has staff and technology that ensure data security

RCW 43.105.215 requires that the Legislature have an information technology security program that is comparable to the one created by the Office of the Chief Information Officer (OCIO) for the Executive Branch.

The Legislature has experts who ensure JLARC meets or exceeds these requirements. They have established controls so that data is protected and remains confidential. This includes data classified as Category 3 or 4 under OCIO policy or other regulations or laws. The security program includes encryption, unique user accounts, multifactor authentication, access management, and monitoring. The Legislature maintains cybersecurity insurance and has passed each of its security audits.

More information is available upon request.

#### You will have the opportunity to review the data in the report before its release

JLARC provides every audited agency time to review a report for accuracy before its public release. You will be able to see how data is interpreted and presented and ensure that no confidential data is released.

# LET US KNOW IF YOU HAVE QUESTIONS

Should you have any questions, please contact Legislative Auditor Keenan Konopaski or the project lead. If you have specific questions about the security controls in place for data, we can direct you to the appropriate staff.