

# PROPOSED FINAL REPORT: UW and Seattle Children's Consultation and Referral Lines for Mental and Behavioral Health

## LEGISLATIVE AUDITOR'S CONCLUSION:

Providers were satisfied with the consultation lines, which they said have improved patient access to mental and behavioral health care. The referral service has helped families find outpatient care, but it has struggled to meet timeliness goals and achieve statewide participation.

November 2022

## Executive Summary

**As directed by statute, UW and Seattle Children's operate four phone lines for mental and behavioral health consultation and referral**

[Chapter 71.24](#)<sup>1</sup>, the Community Behavioral Health Services Act, creates four phone lines for mental and behavioral health:

- Three consultation lines connect health care providers with psychiatrists to discuss patient care.
- A referral service identifies available providers for families whose children need outpatient mental or behavioral health care.

As directed by law, the University of Washington Department of Psychiatry & Behavioral Sciences (UW) and Seattle Children's (Children's) operate the lines under contract with the Health Care Authority. Between [January 2019 and March 2022](#)<sup>2</sup>, the consultation lines served 9,600 providers in 38 counties. The referral service served over 6,700 families in 31 counties.

The 2020 Legislature directed the Joint Legislative Audit and Review Committee (JLARC) to evaluate the lines, including their ability to address access to care. Per RCW 44.28.076, JLARC staff must determine whether there are racial equity considerations directly related to each study mandate and scope. However, this study began prior to this requirement.

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<sup>1</sup>RCWs 71.24.061-.068

<sup>2</sup>The Psychiatry Consultation Line (PCL) began in July 2019 and was fully operational in October 2019.

## Three consultation lines connect health care providers with psychiatrists to discuss patient care, and a referral service identifies available mental or behavioral health providers for families whose children need care

	Provider Consultation Lines Allows providers to discuss patient's mental or behavioral health issue with a consulting psychiatrist.			Family Referral Service Provides list of available outpatient mental or behavioral health providers.
	Psychiatry Consultation Line (PCL)	Perinatal Psychiatry Consultation Line (Perinatal PCL)	Partnership Access Line (PAL)	Mental Health Referral Service (MHRS)
Who calls?	Health care providers	Health care providers	Health care providers	Parents, guardians, family members
About whom?	Adults (18+ years old)	Pregnant or postpartum (any age)	Children (0-19 years old)	Children (0-17 years old)
Year started	2019	2016 (expanded 2019)	2007	2019
Participants (during study period)	1,622	1,411	6,616	6,777
Line cost (FY 20-22)	\$6.8 M	\$1.2 M	\$2.3 M	\$2.6 M
	Operated by UW		Operated by Seattle Children's	

Source: JLARC staff analysis of program records. Participants reflects total usage and not unique callers (e.g., some providers have used the consultation lines more than once).

### Health care providers across Washington reported high satisfaction with the three consultation lines, which they said increased access to care

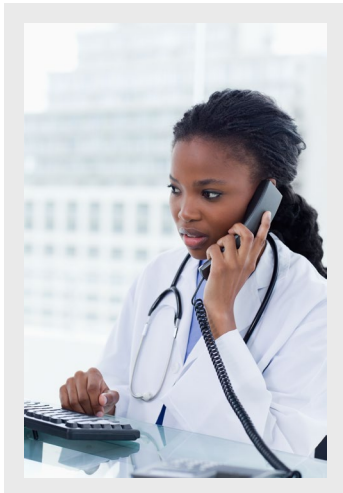
Health care providers who called the consultation lines reported that the consulting psychiatrists provided excellent, evidence-based advice. The providers appreciated being able to speak immediately or schedule a convenient time for consultation. Providers also noted that the lines allowed them to give reliable, same-day treatment to their patients. JLARC staff found no evidence of variation or disparities in satisfaction.

Providers reported the consultation lines increased their patients' access to mental health care by reducing barriers. They also noted the lines helped address systemic health care access issues, such as the limited number of mental and behavioral health care providers in Washington. This

### 293 providers

Gave feedback to JLARC staff about the consultation lines through:

- Focus groups.
- Online questionnaires.
- Interviews.
- Emails.



feedback is consistent with published research and other surveys conducted by UW and Children's.

## Families reported general satisfaction with the referral service. However, they were less satisfied with timeliness and access to care.

The referral process has five steps. Families that used the service reported that they were generally satisfied with each step. However, families were less satisfied with the amount of time between steps in the referral process. For example, 77% were satisfied with their appointment with a referral specialist, but only 26% were satisfied with the time from their initial call until the appointment. Family satisfaction did not differ significantly by race, ethnicity, language spoken, or location.

Most families (91%) received a referral letter with a list of providers from the service. Of those surveyed, 73% agreed that the list was consistent with their request and 62% reported that it helped them access care. The families choose whether to make an appointment with a listed provider. Families may face additional barriers to access outside of the control of the referral service, such as income, insurance coverage, or personal considerations (e.g., language, perceived stigma).

PROCESS STEPS	Contact referral service	Schedule referral appointment	Meet with referral specialist	Receive list of providers	Respond to follow up call
Families satisfied with process steps	73% satisfied with operating hours	80% satisfied with discussion	77% satisfied with discussion	73% agree list is consistent with request	82% satisfied with call
Families less satisfied with timeliness	48% satisfied with time to receive call back	26% satisfied with time from call to referral appointment	32% satisfied with time to receive the list		

Source: JLARC staff survey of families using the referral service in 2021. Total respondents: 1,607.

## The referral service struggles to meet the statutory requirement to send referral letters within an average of seven days. Referral service participants are primarily from Western Washington.

State law requires Children's to send a referral letter within an average of seven days after the family meets with a referral specialist. Since 2019, only 28% of families received a letter within seven weekdays. The average time to send a letter was 17 weekdays. Children's cited rising demand, staffing shortages, and provider availability as factors affecting its ability to meet timeliness goals.

Although the referral service is available to families across Washington, 97% of calls were from families in Western Washington counties. It is unclear why families in Eastern Washington are underrepresented in the referral service data.

## Legislative Auditor Recommendation

HCA and Children's should develop a plan to meet statutory and contractual timeliness requirements for the referral service and investigate disproportionately low participation from Eastern Washington families.

HCA, Seattle Children's, and OFM concur with this recommendation. You can find additional information in Recommendations.

### REPORT DETAILS

## 1. Four phone lines support mental and behavioral health care

**As directed by statute, UW and Seattle Children's operate four phone lines for mental and behavioral health**

**The consultation and referral lines are established in state law**

[Chapter 71.24](#)<sup>3</sup>, the Community Behavioral Health Services Act, creates four phone lines for mental and behavioral health. Statute requires the following:

- The University of Washington Department of Psychiatry & Behavioral Sciences (UW) must operate two consultation lines for health care providers: the Psychiatry Consultation Line (PCL) and the Perinatal Psychiatry Consultation Line (Perinatal PCL).
- Seattle Children's (Children's) must operate a third consultation line, called the Partnership Access Line (PAL). Children's also must operate the Mental Health Referral Service for Children and Teens (MHRS or referral service), which identifies available providers for families whose children need outpatient mental or behavioral health care.
- UW and Children's operate the lines under contracts with the Health Care Authority (HCA).

There is no charge to providers or families that use the lines. Program costs are paid for from the state general fund and, beginning in fiscal year 2022, assessments on insurance companies ([RCW 71.24.064](#)). The lines have cost \$12.9 million during the last three years (fiscal years 2020 through 2022).

**Three consultation lines connect providers with psychiatrists who provide advice about care for different patient groups**

The three consultation lines connect health care providers to psychiatrists for clinical consultations about patient care. Each line addresses the needs of a different population (i.e., adults, pregnant or postpartum people, or youth). The consulting psychiatrists answer questions about diagnoses, medication adjustments, treatment plans, and other topics.

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<sup>3</sup>RCWs 71.24.061-.068

UW and Children's also provide other tools for providers, including care guides, newsletters, and conferences. For example, Children's offers four free training conferences per year for the Partnership Access Line (PAL). Topics have included specific conditions (e.g., ADHD, anxiety, autism), screening and assessment methods, treatment strategies, and supports.

Although the lines are open to a variety of healthcare providers, most callers are either medical doctors (MDs) or advanced registered nurse practitioners (ARNPs). As required by contract, UW and Children's promote the consultation lines with tools including direct-to-provider marketing.

**Exhibit 1.1: UW and Children's offer three consultation lines that give health care providers access to consulting psychiatrists**

<b>Provider Consultation Lines</b>			
Allows providers to discuss patient's mental or behavioral health issue with a consulting psychiatrist.			
	<b>Psychiatry Consultation Line (PCL)</b>	<b>Perinatal Psychiatry Consultation Line (Perinatal PCL)</b>	<b>Partnership Access Line (PAL)</b>
Who calls?	Health care providers	Health care providers	Health care providers
About whom?	Adults (18+ years old)	Pregnant or postpartum (any age)	Children (0-19 years old)
Year started	July 2019	2016 - started with private funds Jan. 2019 - expanded with state funds	2007
Hours	24/7 (since July 2020) Weekdays, 8am- 5pm (before July 2020)	Weekdays, 9am-5pm	Weekdays, 8am- 5pm
Participants (1/19 - 3/22)	1,622	1,411	6,616
Line cost (FY 20-22)	\$6.8 M	\$1.2 M	\$2.3 M
	<i>Operated by UW</i>		<i>Operated by Seattle Children's</i>

Source: JLARC staff analysis of statute and program data. The PCL was fully operational in October 2019.

## The referral service identifies outpatient mental and behavioral health care providers that are available to meet the needs of a child

Children's operates a referral service that helps families identify psychiatrists, therapists, psychologists, and other mental or behavioral health professionals that can treat a child.

Based on information from the family, referral service staff send a letter that identifies providers with available appointments. Referral staff consider factors such as the care needed, location, family preferences, and insurance coverage. Staff also provide resources to families such as links to crisis services, online materials and apps, self-help books, and suggestions for other ways to find available providers.

### Program data shows increased use over the last three years

UW and Children's collect data about consultation and referral line use. HCA submits an annual report to the Legislature.

Exhibit 1.3 shows that use of the consultation and referral lines increased between 2019 and 2021. In addition, the first three months of 2022 indicate that the trend continued. For example, the Perinatal PCL line received 66 calls in the first quarter of 2019 and 139 calls in the first quarter of 2022. The referral service completed 557 intakes in the first quarter of 2020 and 1,175 in the first quarter of 2022.

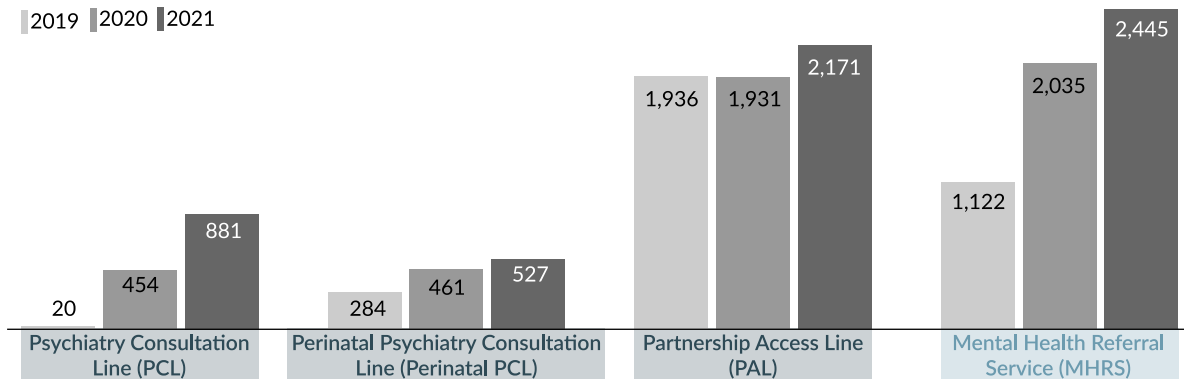
Given the time frame, it is difficult to know if the increase in calls is related to the COVID-19 pandemic, increased awareness of the lines, or other factors. As a result, it is unclear if the data is indicative of future demand.

### Exhibit 1.2: Children's operates a referral service to help families find mental or behavioral health care

	<b>Family Referral Service</b> Provides list of available outpatient mental or behavioral health providers.
	<b>Mental Health Referral Service (MHRS)</b>
Who calls?	Parents, guardians, family members
About whom?	Children (0-17 years old)
Year started	2019
Hours	Weekdays, 8am-5pm
Participants (1/19 - 3/22)	6,777
Line cost (FY 20-22)	\$2.6 M
	<i>Operated by Seattle Children's</i>

Source: Children's program information.

### Exhibit 1.3: UW and Children's data shows that the number of consultation line calls and referral service intakes have increased



Source: JLARC staff analysis of UW and Children's data.

### Studies identify multiple barriers that can limit access to mental and behavioral health care. Provider availability is of particular concern in many parts of Washington.

The Legislature directed JLARC to evaluate how the lines address access to mental and behavioral health care. The law creating the lines specifically directs UW, Children's, and HCA to identify systemic barriers to access.

Research indicates that:

- Access to mental or behavioral health care is often measured by patient use (e.g., appointments), patient satisfaction, timeliness, and the existence of a sufficient workforce.
- Barriers to access include income, health insurance coverage, personal and cultural factors (language, education, and stigma), location, and provider availability.

The availability of mental and behavioral health care providers is a barrier to receiving care in Washington. Reports from both the Office of Financial Management (OFM) and the Department of Health indicate that many counties have few to no psychiatrists<sup>4</sup> and the number of mental and behavioral health providers varies across the state<sup>5</sup>.

<sup>4</sup>OFM estimated in 2021 that 20 counties had fewer than three psychiatrists: Adams, Asotin, Columbia, Douglas, Ferry, Garfield, Grant, Grays Harbor, Island, Kittitas, Klickitat, Lincoln, Mason, Okanogan, Pacific, Pend Oreille, San Juan, Skamania, Wahkiakum, and Walla Walla.

<sup>5</sup>For example, in 2021, Garfield County had one provider for every 2,230 people and Pierce County had one provider for every 210 people.

## 2. Providers satisfied with consultation lines

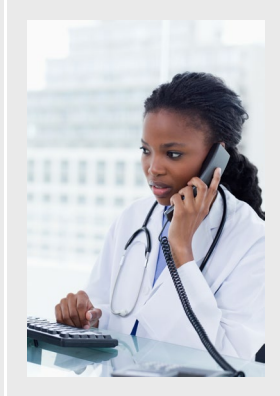
Health care providers across Washington were overwhelmingly satisfied with the three consultation lines, which they reported have increased access to care

### Provider Consultation Lines

Allow providers to discuss patients' mental or behavioral health issue with a consulting psychiatrist.

PCL	Perinatal PCL	PAL
Adults (18+)	Pregnant or postpartum (any age)	Children (0-19)

Combined, the lines received 9,600 calls.  
(Jan. 2019-Mar. 2022)



The 2020 Legislature directed JLARC to evaluate how the consultation lines address access to mental and behavioral health care. Access can be measured by patient use, timeliness, satisfaction, and available providers.

JLARC staff contracted with the Social Development Research Group (SDRG) at the University of Washington to contact providers who used the lines between 2019 and 2021.

- 293 providers from across Washington gave feedback about the consultation lines through focus groups, a web-based questionnaire, interviews, and emails.
- 24% of the providers participating were located in Eastern Washington. This is consistent with line use, where callers from Eastern Washington range from 13%-26% of the consultations, depending on the line.
- About 45% of respondents used either the [Psychiatry Consultation Line \(PCL\)](#)<sup>6</sup> or the [Perinatal Psychiatry Consultation Line \(Perinatal PCL\)](#)<sup>7</sup>. About 55% used the [Partnership Access Line \(PAL\)](#)<sup>8</sup>. Some providers used the lines once and others multiple times.

Appendix A provides more information about the engagement approach.

### Provider feedback about the consultation lines was overwhelmingly positive

In surveys and focus groups, providers were asked to identify the "main thing" they wanted to share about the lines. The most frequent response was appreciation for the service and advice. Only three providers rated their satisfaction with the service as less than five out of five. Those

<sup>6</sup>Consultation about adult patients.

<sup>7</sup>Consultation about pregnant or postpartum patients.

<sup>8</sup>Consultation about youth patients.



providers rated their satisfaction as four out of five. Providers said the lines provided excellent, reliable, and timely advice that helped them diagnose and treat their patients.

- **Excellent advice:** Providers said the consulting psychiatrists provided excellent and evidence-based advice, answered questions, and educated providers. Providers said they would use the service again and recommend the consultation lines to their colleagues.
- **Reliable and timely:** Providers reported the lines offered reliable and timely advice. Providers found the lines easy to use and appreciated being connected immediately to a consultant or being able to schedule time to speak with the consultant. Providers also noted that the lines allowed them to give dependable, same-day care to their patients.
- **Help with diagnosis and treatment:** Providers said the lines helped them diagnose or treat their patients. Some providers stated that they would not have been able to provide care without the consulting line. Specific examples included help diagnosing disorders, developing treatment plans, and providing advice on managing medications.

### Data supports providers' perception of timeliness

Depending on the line, between 76% and 94% of health care providers spoke with a consulting psychiatrist immediately. Across the three lines, about 87-95% of remaining callers were contacted by a psychiatrist within 24 hours. Providers also may schedule a convenient time for the return call and consultation.

### Providers reported the consultation lines increased their patients' access to mental health care

Federal agencies, medical associations, and academic research suggest that collaboration between primary care providers and specialized mental health care providers can improve access to behavioral health care and health outcomes. Feedback from providers indicates the lines have increased access to care by:

- **Filling the gaps in the mental health care system:** Providers reported that since some areas in Washington have few psychiatrists, the consultation lines bridges the gap between the patient needing mental health care and psychiatrists having a long wait list.
- **Overcoming barriers:** Providers reported that the lines reduced the time it took patients to receive care. Providers also noted that the lines removed barriers to care (e.g., patients difficulty with accessing a psychiatrist due to insurance status, perceived stigma, or location).
- **Educating providers:** Providers reported the information received during the consult increased their confidence in treating the patient. Providers reported what they learn during the consults often can be applied to other patients. They also appreciated the manuals and training conferences.

Providers also reported there is no resource equivalent to the consultation lines for them to access. Without the lines, providers reported they would have consulted a colleague, used an

online resource, referred the patient to a psychiatrist or emergency room, and/or provided care with less confidence.

**Lines were used statewide. The call rate was higher from counties with fewer psychiatrists.**

Between January 2019 and March 2022, the lines received calls from 38 of 39 counties (only Wahkiakum had no calls). Counties with fewer psychiatrists had higher call rates (i.e., calls per 1,000 population) than those with more psychiatrists. For example, the six counties<sup>9</sup> with the highest call rates to the PCL (more than one call per 1,000 adult population) also had three or fewer psychiatrists per county.

## **The programs' own surveys and published research also find provider satisfaction and improved patient access**

Statute<sup>10</sup> requires University of Washington (UW) and Seattle Children's (Children's) to collect information about provider satisfaction with the consultation lines. Each consultation line sends a satisfaction survey to the providers that call. The programs' surveys also report overwhelming satisfaction with the service.

Other research and evaluations of Washington's and other state consultation line programs show that:

- Providers use mental and behavioral health consultation programs and are satisfied with the services.
- The programs can increase efficacy of treatment in primary care settings, improve identification and treatment of mental and behavioral health conditions, and reduce medication use.
- The programs can address barriers to accessing mental health care (including lack of providers, finances, and personal and cultural issues) by allowing patients to receive care from their current provider.

Some providers told JLARC staff that the lines cannot address a broader lack of access to mental and behavioral resources (e.g., availability of psychiatrists and inpatient treatment options).

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<sup>9</sup>Okanogan, San Juan, Walla Walla, Columbia, Garfield, and Ferry.

<sup>10</sup>RCWs 71.24.061 and 71.24.063.

### 3. Families satisfied with referral service, less so with timeliness

Families surveyed were generally satisfied with referral service. However, they were less satisfied with timeliness and access to care.

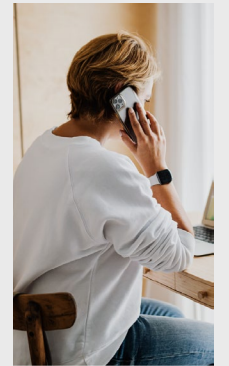
The Legislature directed JLARC staff to evaluate how the Mental Health Referral Service for Children and Teens (MHRS or referral service) has helped families access mental and behavioral health care.

#### Family Referral Service

Provides list of available outpatient mental or behavioral health providers.

Mental health referral service (MHRS)

Callers: Parents, guardians, family members  
About: Children (0-17)  
Hours: 8am-5pm, M-F  
Participants: 6,777



Seattle Children's (Children's) operates the referral service, which helps families identify available providers in the community that fit the child's needs and accept the family's insurance. The referral service is a five-step process:

1. Families contact the service by phone, or fill out an online form.
2. An intake coordinator contacts families and schedules an intake appointment with a referral specialist.
3. Families have an appointment with the referral specialist, who collects additional information.
4. The referral specialist identifies community providers and sends a referral letter that lists available providers.
5. Referral service staff follow up with families.

Families seek help for conditions such as anxiety, depression, ADHD, and trauma. The most commonly requested service is individual therapy. Survey respondents reported that the referral service was not their first attempt to find care. Only 8% of those surveyed had not consulted other resources, such as their health care provider, insurance company, or school counselor, before using the referral service.

#### Families that used the referral service in 2021 are satisfied with staff and service

JLARC staff contracted with the Social Development Research Group (SDRG) at the University of Washington to survey families that used the referral service in 2021. SDRG administered the

survey online and by phone between January and April of 2022. Of the 2,965 families surveyed, 1,607 (54%) responded. Participants were asked about their experiences accessing care, care needs, satisfaction, and outcomes after using the referral service. Appendix A provides more information about the survey.

As shown in Exhibit 3.1, survey respondents were generally satisfied with referral service hours and specific points in the referral process. In addition, 88% agreed that referral service staff listened to their needs, and 73% of those who reported receiving a referral letter agreed that the referrals provided were located within a reasonable distance.

Survey results did not reveal significant differences in satisfaction among respondents of different race or ethnicity, language spoken, or location.

### Exhibit 3.1: Families were satisfied with the referral service's operating hours, program staff, and services

PROCESS STEPS	Contact referral service	Schedule referral appointment	Meet with referral specialist	Receive list of providers	Respond to follow up call
Families satisfied with process steps	73% satisfied with operating hours	80% satisfied with discussion	77% satisfied with discussion	73% agree list is consistent with request	82% satisfied with call

Source: JLARC staff analysis of referral service survey data.

### Families were less satisfied with the number of referrals

Children's sends each family a letter identifying mental or behavioral health providers who are accepting clients and meet the needs and preferences the family identified. Considerations can include provider specialty, gender, location, hours, experience, insurance coverage, and more.

Per the contract with the Health Care Authority (HCA), the letter includes at least two providers who are available to the family. However, only 56% of survey respondents who reported receiving a referral letter agreed that the number of referrals met their needs. Another 16% neither agreed nor disagreed.

Children's stated that it has become more challenging to find available providers in the community. Research supports this, and suggests provider availability is a challenge in Washington (see Section 1 for more detail about provider availability).

### Less than half of families surveyed were satisfied with service timeliness

As shown in Exhibit 3.2, 26%-48% of survey respondents were satisfied with the time to receive services. This is consistent with feedback the referral service has received from families.

Children's reported that families were happy with the service, but would like faster service and additional provider availability in the community.

Families with Medicaid were more satisfied with timeliness than those with other types of insurance. As discussed in Section 4, families with Medicaid also received faster service.

## Exhibit 3.2: Families were unsatisfied with the length of time to speak with staff and receive a referral letter

PROCESS STEPS	Contact referral service	Schedule referral appointment	Meet with referral specialist	Receive list of providers
Families less satisfied with timeliness	48% satisfied with time to receive call back	26% satisfied with time from call to referral appointment	32% satisfied with time to receive the list	

Source: JLARC staff analysis of referral service survey data.

Despite positive reviews of referral staff and service provided, only 53% of families were satisfied with the referral service overall and 47% agreed that it met their needs.

### Those who received a referral letter were more likely to agree that the service helped them access care

Between January 2019 and March 2022, 91% of families that had an intake meeting received a letter. Families that did not receive a letter either let the referral specialist know they already made an appointment with a provider, no longer needed services for another reason, or did not respond.

Families that received a referral letter were more likely to agree the referral service helped them access care.

- 62% reported that the referral service helped them access care, compared to 49% of survey respondents overall.
- 66% reported making an appointment with a community provider. Some families used a provider listed in their referral letter and others found a provider on their own.

Research shows follow up rates on referrals to mental and behavioral health care can range from 18% to 61%. The survey results described above are consistent with this range.

Survey results did not reveal significant differences in perceptions of access among respondents of different race or ethnicity, language spoken, or location.

### Additional barriers may prevent families from accessing care

Families are responsible for contacting the providers listed in their referral letter to make an appointment. Children's staff follow up with families to find out if they made an appointment.

- Some families do not make appointments because they have other priorities or barriers that the referral service cannot address (e.g., transportation).
- Data is incomplete because only 53% of families responded to the follow up. As a result, Children's is unable to determine how the referral service affects access for all families that use the service. More information about the referral service follow up is available in Section 4.

## 4. Referral service struggles with timeliness

### The referral service struggles to meet the statutory requirement to send referral letters within an average of seven days

As described in Section 3, the referral service is a five-step process.

#### Exhibit 4.1: Referral service process includes five steps



Source: JLARC staff analysis of referral service.

For calls in 2021, the duration of the referral process from call to referral letter was 61 days (44 weekdays). This includes an average of 33 days (24 weekdays) from call to referral meeting and 28 days (20 weekdays) from the referral meeting to letter.

While there is no timeliness requirement for the entire process, Children's has statutory and contractual timeliness requirements for sending referral letters and following up with families.

### Referral service has rarely met statutory timeliness goal

State law<sup>11</sup> requires Seattle Children's (Children's) to send a referral letter within an average of seven days after the family meets with a referral specialist. Health Care Authority's (HCA's) contract with Children's also specifies that the referral letter must be sent ideally within seven business days.

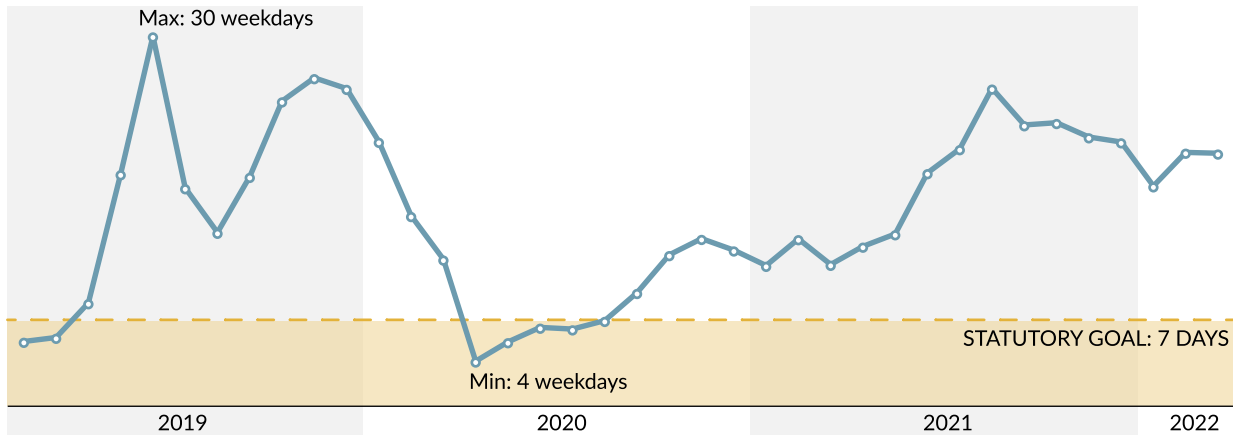
JLARC staff's analysis identifies the total number of days and the number of weekdays it took for families to receive referral letters. After meeting with a referral specialist, 91% of families received a referral letter. Since 2019, only 28% of these families received that letter within seven weekdays.

As shown in Exhibit 4.2, the referral service has not met the statutory goal of providing referral letters within an average of seven days since 2020. The average time from meeting with families to sending a letter is 17 weekdays, or 23 days total.

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<sup>11</sup>RCW 71.24.061(3)(a)(iii)

## Exhibit 4.2: The referral service met the statutory timeliness goal in seven of 38 months since 2019



Source: JLARC staff analysis of referral service data.

### Shorter wait times for families with Medicaid

Families with Medicaid received letters faster than those with private insurance. Those with Medicaid received letters in an average of nine weekdays and participants with private insurance received letters in an average of 19 weekdays. For letters to families with Medicaid, Children's met the seven-day statutory timeliness goal in 19 of 38 months since 2019. However, families with Medicaid only account for 27% of referral line intakes.

In 2021, Children's changed its process to streamline finding providers for Medicaid families, which they reported has improved timeliness by reducing the number of calls needed to find a provider for these referrals.

The referral service data indicates that callers for African American and Hispanic children received letters more quickly than callers for white or Asian children. Children's data also indicates that families with African American and Hispanic children are more likely than families with white or Asian children to have Medicaid.

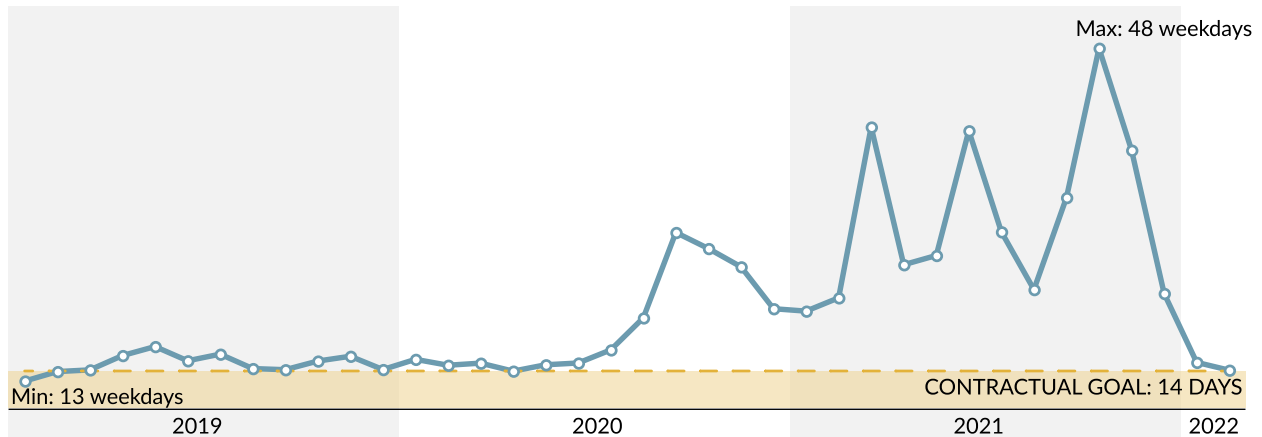
### Referral service follows up with 99% of families after sending a letter

The contract with HCA requires Children's to follow up with families within two weeks after sending a referral letter to determine if families accessed care. Children's has attempted to follow up by phone or email with 99% of families that received a referral letter. In early 2021, Children's reported pausing calls due to low staffing, instead sending families surveys. Calls resumed in April 2021.

As described in Exhibit 4.3, the referral service has struggled to follow up within two weeks. The average follow up time was 23 days.

Despite Children's follow up attempts, only 53% of families that received a letter between January 2019 and March 2022 responded to the follow up. Available data suggests that 30% made an appointment and 23% did not.

**Exhibit 4.3: The referral service did not meet contractual goal to follow up within two weeks (14 days) in 2021. Timeliness has improved in 2022.**



Source: JLARC staff analysis of referral service data.

**Children's cited rising demand, staffing shortages, and provider availability as factors affecting service delivery time**

In interviews and reports, Children's cited multiple factors that affect its ability to meet the statutory timeliness target, including:

- Increased demand for the service during the COVID-19 pandemic.
- Staff turnover and vacancies.
- Limited provider availability (see Section 1 for more detail).

While families wait for appointments and letters, Children's provides crisis support services, other ways to find a provider, apps, and recommended books.

**Almost all referral service families are from Western Washington**

The referral service is available statewide. However, the service received 97% of its calls from families in Western Washington and only 3% from families in Eastern Washington. In contrast, 76% of the state's children live in Western Washington and 24% live in Eastern Washington.

While consultation line data, focus groups, and research indicated that there is a need for mental health services in Eastern Washington, it is unclear

**Exhibit 4.4: Referral service participants compared to Washington child population**



Source: JLARC staff analysis of referral service data and 2020 American Community Survey data.



why families in Eastern Washington are underrepresented in the referral service data.

Most families reported that they learned about the service through the child's primary care provider. Children's has conducted cross promotional activities with the Partnership Access Line (PAL) program to share information about the referral service with health care providers.

## Legislative Auditor recommendation

HCA and Children's should develop a plan to meet statutory and contractual timeliness requirements for the referral service and investigate disproportionately low participation from Eastern Washington families.

HCA, Seattle Children's, and OFM concur with this recommendation. You can find additional information in Recommendations.

## Appendix A: Additional detail about family survey and provider feedback

### JLARC staff collected feedback from 1,607 families and 293 health care providers

JLARC staff contracted with the University of Washington Social Development Research Group (SDRG) to collect feedback from consultation line and referral service users. This included:

- Survey of families that used the referral service in 2021.
- Focus groups, interviews, or a questionnaire with health care providers who used one of the three consultation lines between 2019 and 2021.

### Referral service survey of 1,607 participants from 2021

SDRG administered the survey to referral service users between January 7, 2022 and April 3, 2022 via the web and phone in both English and Spanish. Participants speaking a language other than English or Spanish were sent a paper survey in their native language. SDRG staff sent outreach letters, email reminders, and called referral service participants to encourage survey completion.

The survey was approximately 62 questions and asked participants about their experiences accessing care, care needs, satisfaction, and outcomes after using the referral service. It also included questions about caller and child demographics.

Of the 2,965 users surveyed, 1,607 completed the survey and another 96 responded to opt out. The survey sample included participants that received a referral letter and those that dropped out earlier in the process. The composition of survey respondents is generally similar to the composition of referral service participants.

- Participants from 26 counties provided feedback. 94% of participants called for children in Western Washington and 2.4% called for children in Eastern Washington. Others did not provide location information.

- 72% of participants called for children with private insurance, 24% for Medicaid insurance, and 3% for Military insurance.
- 6% of participants called for Asian children, 3% for Black or African American children, 8% for Hispanic or Latino children, and 66% for white children, and 17% for children of other or multiple races.

## Analysis of survey data for variation in satisfaction and perception of access

JLARC staff analyzed survey responses for variation in satisfaction and perception of access from respondents of different races, ethnicities, languages spoken, insurance type, and location.

JLARC staff employed ordinary least squares regression analysis to estimate the effect of these participant characteristics while holding other factors constant. The analysis was performed for responses to each step of the referral service process (e.g., service hours; satisfaction with referral service staff and letter; perception of access from service). In addition, staff analyzed responses to questions about service timeliness.

- Participants who reported making it further in the process (e.g. had intake appointment or received a referral letter) were consistently more satisfied with the referral service and reported increased access to care.
- Participants with Medicaid were more satisfied with referral service timeliness (e.g., time to speak with referral staff or time to receive letter).
- Overall, there were no consistent differences in satisfaction with specific points in the referral service process (e.g. staff interaction, referral letter), service timeliness, or perception of access among respondents of different races or ethnicities, languages spoken, or geography.

## Feedback from 293 providers who used the Partnership Access Line, Perinatal Psychiatry Consultation Line, and Psychiatry Consultation Line

SDRG assisted JLARC staff with conducting focus groups, interviews, and an online questionnaire with providers who used the consultation lines sometime between 2019 and 2021.

SDRG staff administered the focus groups via Zoom and questionnaire between December 2021 and February 2022. Each focus groups had two to seven participants, lasted approximately 30 minutes, and used the same set of questions for each conversation. The moderator inquired about whether:

- The line(s) helped patients access care, get quicker care, and/or overcome barriers.
- Providers could treat patients/conditions they would not have otherwise.
- Providers had alternative sources of psychiatry support or information; i.e. what would they have done without the line(s)?
- The information provided was used for treating patients in the future.

- The service met their needs, they were satisfied and would use it again, and would recommend to other providers.

The sample of providers was 1,577. From this sample, SDRG, JLARC staff, UW Department of Psychiatry and Behavioral Science, and Seattle Children’s Hospital coordinated to recruit providers to participate in the focus groups.

- UW and Children’s each sent email/newsletters to providers who used the lines announcing the focus groups.
- SDRG sent a recruitment letter to providers who used the lines and followed up via phone for reminders and additional recruitment.
- The Washington State Hospital Association and the Washington Chapter of the American Academy of Pediatrics both advertised the focus groups in their monthly newsletters to providers.

There were a total of 293 participants, which accounted for 19% of the total sample. Providers who participated were located across Washington, had different specialties and/or credentials (e.g., MD; ARNP), some had used the line once and others had used the line several times. The feedback was uniformly positive. No new themes emerged from the focus groups and questionnaires.

Of the 293 participants, 92 participated via focus group. SDRG conducted 26 focus groups total. If providers were unable to attend a focus group and still wished to provide information about their experience, SDRG staff collected their feedback in one of three ways.

1. Conducting individual interviews over the phone.
2. Sending an online questionnaire to the providers.
3. Receiving emails with provider feedback.

Below is a table of the consultation lines, the method of feedback, and the number of providers who provided feedback using that method.

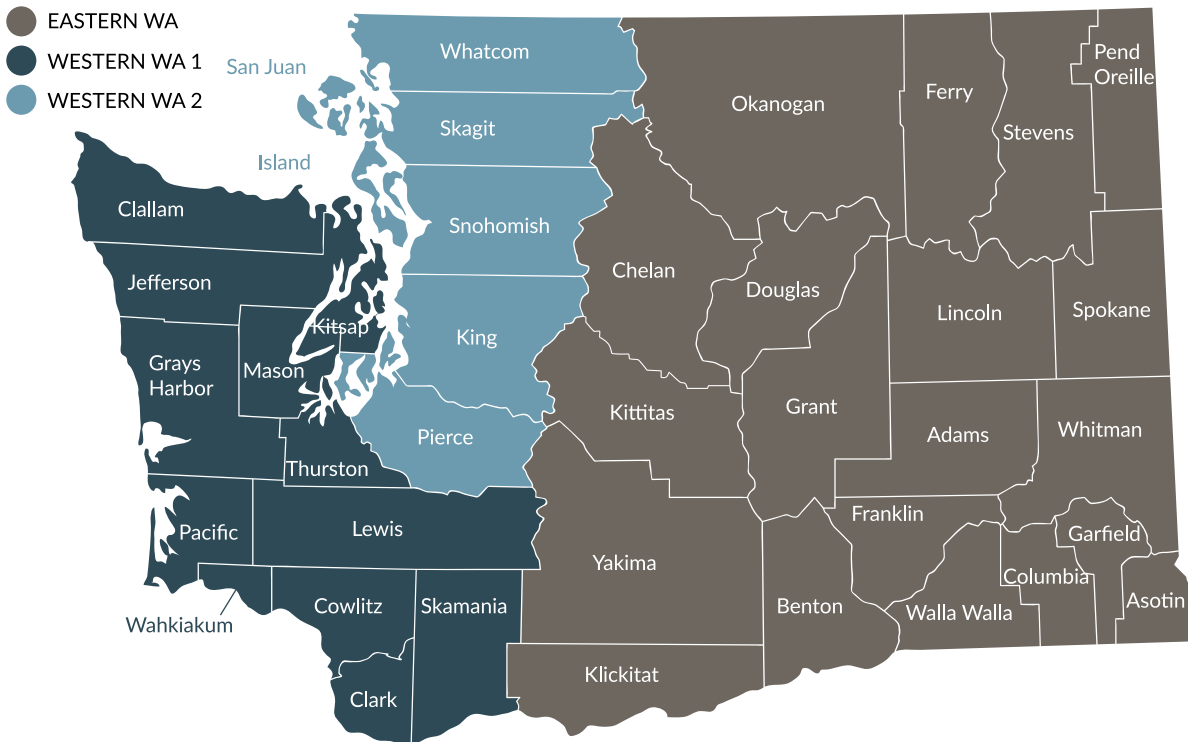
**Exhibit A1: Table of the consultation lines, the method of feedback, and the number of providers who provided feedback using that method**

Method of feedback	PAL	Perinatal PCL	PCL	Total
Focus group	42	20	30	92
Questionnaire	103	20	40	163
Individual interview	9	10	3	22
Email	8	1	7	16
<b>TOTAL</b>	<b>162</b>	<b>51</b>	<b>80</b>	<b>293</b>

Source: JLARC staff analysis of provider feedback.

Providers were from three regions of Washington: Eastern Washington, Western Washington 1, and Western Washington 2. The categories are comprised of the following counties:

### Exhibit A2: Map of Washington counties categorized into three regions for JLARC focus groups



Source: JLARC staff analysis.

- 24% of providers practiced in Eastern Washington.
- 19% of providers practiced in Western Washington 1.
- 55% of providers practiced in Western Washington 2.

## Appendix B: Applicable statutes

### RCW 71.24.061 - RCW 71.24.062

#### Partnership Access Line, Perinatal Psychiatry Consultation Line, Mental Health Referral Service for Children and Teens

##### RCW 71.24.061

(3)(a) To the extent that funds are specifically appropriated for this purpose, the authority in collaboration with the University of Washington department of psychiatry and behavioral sciences and Seattle children's hospital shall implement the following access lines: (i) The partnership access line to support primary care providers in the assessment and provision of

appropriate diagnosis and treatment of children with mental and behavioral health disorders and track outcomes of this program; (ii) The partnership access line for moms to support obstetricians, pediatricians, primary care providers, mental health professionals, and other health care professionals providing care to pregnant women and new mothers through same-day telephone consultations in the assessment and provision of appropriate diagnosis and treatment of depression in pregnant women and new mothers; and (iii) The mental health referral service for children and teens to facilitate referrals to children's mental health services and other resources for parents and guardians with concerns related to the mental health of the parent or guardian's child. Facilitation activities include assessing the level of services needed by the child; within an average of seven days from call intake processing with a parent or guardian, identifying mental health professionals who are in-network with the child's health care coverage who are accepting new patients and taking appointments; coordinating contact between the parent or guardian and the mental health professional; and providing postreferral reviews to determine if the child has outstanding needs. In conducting its referral activities, the program shall collaborate with existing databases and resources to identify in-network mental health professionals. (b) The program activities described in (a) of this subsection shall be designed to promote more accurate diagnoses and treatment through timely case consultation between primary care providers and child psychiatric specialists, and focused educational learning collaboratives with primary care providers.

(4) The authority, in collaboration with the University of Washington department of psychiatry and behavioral sciences and Seattle children's hospital, shall report on the following: (a) The number of individuals who have accessed the resources described in subsection (3) of this section; (b) The number of providers, by type, who have accessed the resources described in subsection (3) of this section; (c) Demographic information, as available, for the individuals described in (a) of this subsection. Demographic information may not include any personally identifiable information and must be limited to the individual's age, gender, and city and county of residence; (d) A description of resources provided; (e) Average time frames from receipt of call to referral for services or resources provided; and (f) Systemic barriers to services, as determined and defined by the health care authority, the University of Washington department of psychiatry and behavioral sciences, and Seattle children's hospital.

(5) Beginning December 30, 2019, and annually thereafter, the authority must submit, in compliance with RCW 43.01.036, a report to the governor and appropriate committees of the legislature with findings and recommendations for improving services and service delivery from subsection (4) of this section.

## **Psychiatry Consultation Line**

### **RCW 71.24.062**

(1) To the extent that funds are specifically appropriated for this purpose or nonstate funds are available, the authority in collaboration with the University of Washington department of psychiatry and behavioral sciences shall implement a psychiatric consultation call center to provide emergency department providers, primary care providers, and county and municipal

correctional facility providers with on-demand access to psychiatric and substance use disorder clinical consultation for adult patients.

(2) When clinically appropriate and technically feasible, the clinical consultation may occur via telemedicine.

(3) Beginning in fiscal year 2021, to the extent that adequate funds are appropriated, the service shall be available seven days a week, twenty-four hours a day.

## RECOMMENDATIONS & RESPONSES

### Legislative Auditor Recommendation

#### The Legislative Auditor makes one recommendation regarding referral service timeliness and statewide participation

HCA and Children's should develop a plan to meet statutory and contractual timeliness requirements and investigate disproportionately low participation in the referral service from Eastern Washington families.

- The plan to meet timeliness requirements should be included in the existing annual report to the Legislature and should identify if additional resources are needed.
- Investigate reasons that families from Eastern Washington are underrepresented in the referral service and include this information in existing annual report to Legislature.

Legislation Required: No legislation required.

Fiscal Impact: No fiscal impact to develop plan. Additional resources may be required to implement the plan.

Implementation Date: January 2024

Agency Response: HCA, Seattle Children's, and OFM concur with this recommendation.

## Health Care Authority Response



**STATE OF WASHINGTON  
HEALTH CARE AUTHORITY**

626 8th Avenue, SE • P.O. Box 45502 • Olympia, Washington 98504-5502

October 11, 2022

Keenan Konopaski  
Legislative Auditor  
Joint Legislative Audit & Review Committee  
106 11<sup>th</sup> Ave SW  
PO Box 40910  
Olympia, WA 98504-0910

Dear Auditor Konopaski:

Thank you for the invitation to respond to the Joint Legislative Audit and Review Committee's (JLARC) report for *UW and Seattle Children's Consultation and Referral Lines for Mental and Behavioral Health*.

As requested, we are providing our formal response in the table below:

<b>Recommendation</b>	<b>Agency Position</b>	<b>Comments</b>
HCA and Children's should develop a plan to meet statutory and contractual timeliness requirements and investigate disproportionately low participation in the referral service from Eastern Washington families. The plan and reasons for disproportionate use should be included in the existing annual report to Legislature.	Concur	HCA will amend the contract with Children's by July 1, 2023, that will include a deliverable for Children's to develop a plan to address timeliness and disproportionate participation across Washington. HCA will include the plan and any findings that are available in its annual report to the Legislature by December 31, 2023.

Thank you again for the opportunity to comment. We would like to thank the audit staff for their efforts and diligence in reviewing these programs. Please do not hesitate to contact Mary Fliss by telephone at 360-725-0822 or via email at [mary.fliss@hca.wa.gov](mailto:mary.fliss@hca.wa.gov) with any questions.

Keenan Konopaski  
Legislative Auditor  
October 11, 2022  
Page 2

Sincerely,



Susan E. Birch, MBA, BSN, RN  
Director

By email

cc: Amanda Eadrick, Research Analyst, JLARC  
Casey Radostitz, Research Analyst, JLARC  
Valerie Whitener, Deputy Legislative Auditor, JLARC  
Dr. Judy Zerzan-Thul, Chief Medical Officer, CQCT, HCA  
Mary Fliss, Deputy Clinical Strategy & Operations, CQCT, HCA  
Lynda Karseboom, Audit & Accountability Manager, AA, HCA  
Kari Summerour, External Audit Compliance Manager, AA, HCA  
Stella Chang, Management Analyst, CQCT, HCA



# Seattle Children's Response



**Seattle Children's**<sup>®</sup>  
HOSPITAL • RESEARCH • FOUNDATION

October 19, 2022

Via email: [Keenan.Konopaski@leg.wa.gov](mailto:Keenan.Konopaski@leg.wa.gov)

Keenan Konopaski  
Legislative Auditor (he/him)  
Joint Legislative Audit and Review Committee, WA State Legislature  
(360) 786-5187 | [keenan.konopaski@leg.wa.gov](mailto:keenan.konopaski@leg.wa.gov) | [www.jlarc.leg.wa.gov](http://www.jlarc.leg.wa.gov)

Dear Mr. Konopaski,

We appreciate the Joint Legislative Audit and Review Committee's thoughtful review of our Mental Health Referral Service.

Seattle Children's Response:  
Concur

Comments:

Seattle Children's will work with HCA on an amended contract by July 1, 2023 to include a deliverable for Children's to develop a plan to address timeliness and disproportionate participation across Washington. Seattle Children's will be reporting progress on that plan to HCA, so that HCA and Seattle Children's can jointly report any findings that are available in the annual report to the Legislature by December 31, 2023.

The Referral Service team very much would like to see its support services increasingly utilized by families in Eastern Washington and will continue to develop its promotion plans in that regard. While the Referral Service does face a core challenge in timeliness of referral recommendations because it cannot control whether community providers are actually available within the family's area and on their insurance plan, the service is very invested in working with HCA on revising service plans to increase the timeliness of its supports for families.

Please reach out with any questions or concerns.

Kind regards,

A handwritten signature in black ink, appearing to read 'Leslie Pierson'.

Leslie Pierson, MPH  
Director, Psychiatry and Behavioral Medicine  
Seattle Children's Hospital

cc: Robert Hilt, MD, Director, Partnership Access Line, Seattle Children's  
Mollie Shirman, Business Operations Manager, Seattle Children's  
Stephanie Tuffey, Mental Health Referral Service Supervisor, Seattle Children's  
Casey Radostitz, Research Analyst, JLARC  
Amanda Eadrick, Research Analyst, JLARC  
Rebecca Connolly, Research Analyst, JLARC  
Vivien Chen, Research Analyst, JLARC  
Valerie Whitener, Deputy Legislative Auditor, JLARC

October 19, 2022

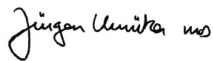
RE: JLARC Review – UW and Seattle Children’s Consultation and Referral Lines for Mental and Behavioral Health – Request for Formal Response

Keenan Konopaski  
Legislative Auditor  
State of Washington  
Joint Legislative Audit & Review Committee  
106011<sup>th</sup> Ave SW, P.O. Box 40910  
Olympia, WA 98504

Dear Auditor Konopaski,

On behalf of the perinatal and adult Psychiatry Consultation Line programs, we would like to express our gratitude to the Washington State Legislature and JLARC for funding these programs and collaborating in this performance audit. It is a privilege for us to offer these important services for patients and providers from throughout Washington State. We are pleased that this review demonstrated high levels of provider satisfaction and improved access to behavioral health care. UW Medicine is dedicated to improving the health of the public and the services offered through the provider consultation lines are well-aligned with this mission.

Sincerely,



Jürgen Unützer MD, MPH, MA  
Professor and Chair, Psychiatry and Behavioral Sciences | University of Washington  
Director, Garvey Institute for Brain Health Solutions | UW Medicine  
Paul G. Ramsey Endowed Chair for Brain Health Solutions

UW Department of Psychiatry & Behavioral Sciences

1959 NE Pacific Street  
Box 356560, Room BB1644  
Seattle, WA 98195-6560

OFFICE 206.543.3750  
FAX 206.543.9520  
WEB psychiatry.uw.edu

# OFM Response



STATE OF WASHINGTON

## OFFICE OF FINANCIAL MANAGEMENT

Insurance Building, PO Box 43113, Olympia, Washington 98504-3113 | (360) 902-0555

October 21, 2022

TO: Keenan Konopaski, Legislative Auditor  
Joint Legislative Audit and Review Committee

FROM: David Schumacher  
Director 

SUBJECT: RESPONSE ON JLARC PRELIMINARY REPORT ON UW AND SEATTLE CHILDREN'S CONSULTATION AND REFERRAL LINES

The Office of Financial Management appreciates the opportunity to review and comment on the Joint Legislative Audit and Review Committee's preliminary report titled "UW and Seattle Children's Consultation and Referral Lines for Mental and Behavioral Health."

Recommendation	Agency Position	Comments
HCA and Children's should develop a plan to meet statutory and contractual timelines requirements and investigate disproportionately low participation in the referral service from Eastern Washington families. The plan and reasons for disproportionate use should be included in the existing annual report to Legislature.	Concur	HCA and Seattle Children's will develop a plan to meet the timelines requirements set forth in SHB 2728 and investigate the low participation rate in the program by Eastern Washington families. HCA will submit the findings to the Legislature in the annual report that is due by December 31, 2023.

Again, thank you for the opportunity to comment on this preliminary report. Please don't hesitate to contact Jason Brown, Budget Assistant, at (360) 742-7277 with any questions.

cc: Jason Brown, Budget Assistant, OFM  
Robyn Williams, Senior Budget Advisor, OFM  
K.D. Chapman-See, Legislative Director, OFM  
Amber Leaders, Senior Policy Advisor, Executive Policy Office  
Tammy Firkins, Performance Audit Liaison, Office of the Governor

## Current Recommendation Status

JLARC staff follow up with agencies on Legislative Auditor recommendations for 4 years. Responses from agencies on the latest status of implementing recommendations for this report will be available in 2023.

## MORE ABOUT THIS REVIEW

### Audit Authority

The Joint Legislative Audit and Review Committee (JLARC) works to make state government operations more efficient and effective. The Committee is comprised of an equal number of House members and Senators, Democrats and Republicans.

JLARC's nonpartisan staff auditors, under the direction of the Legislative Auditor, conduct performance audits, program evaluations, sunset reviews, and other analyses assigned by the Legislature and the Committee.

The statutory authority for JLARC, established in [Chapter 44.28 RCW](#), requires the Legislative Auditor to ensure that JLARC studies are conducted in accordance with Generally Accepted Government Auditing Standards, as applicable to the scope of the audit. This study was conducted in accordance with those applicable standards. Those standards require auditors to plan and perform audits to obtain sufficient, appropriate evidence to provide a reasonable basis for findings and conclusions based on the audit objectives. The evidence obtained for this JLARC report provides a reasonable basis for the enclosed findings and conclusions, and any exceptions to the application of audit standards have been explicitly disclosed in the body of this report.

# Study Questions

Click image to view PDF of proposed study questions



## PROPOSED STUDY QUESTIONS UW and Seattle Children's Consultation and Referral Lines for Mental and Behavioral Health

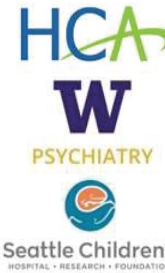
State of Washington Joint Legislative Audit and Review Committee

June 2021

### The Legislature directed JLARC to review four consultation and referral lines for mental and behavioral health

In 2020, SHB 2728 directed JLARC to review four telehealth programs that provide mental and behavioral health expertise and assistance to health care providers and patients' families. The Legislature asked whether these programs are increasing access to mental health and substance use disorder services.

The Health Care Authority (HCA) contracts with the University of Washington (UW) and Seattle Children's Hospital (Children's) to administer the programs.



### The University of Washington Department of Psychiatry and Behavioral Sciences administers two consultation lines for health care providers

- ☒ The Partnership Access Line (PAL) for Moms is a perinatal mental and behavioral phone consultation line for health care providers who treat pregnant and postpartum patients.
- ☒ The Psychiatry Consultation Line (PCL) is a behavioral health consultation line for health care providers who treat adult patients for psychiatric conditions or substance use disorders.

### Seattle Children's Hospital administers one consultation line for providers and a referral service for families

- ☒ The Partnership Access Line (PAL) is a psychiatric consultation line for health care providers who treat pediatric patients up to 19 years old. Psychiatrists from the University of Washington staff this line.
- ☒ The Mental Health Referral Service for Children and Teens (MHRS) helps families find available outpatient mental and behavioral health services in their communities.

### Study will address four questions about services and access to care

1. What mental and behavioral health services do PAL, PAL for Moms, PCL, and MHRS offer?
  - a. When are the services available?
  - b. Who uses the programs?
  - c. How well do the programs meet call demand?
2. How do HCA, UW, and Children's promote and oversee the programs?
3. Are callers satisfied with how the programs addressed their issues related to accessing mental and behavioral health care? Are there disparities in call satisfaction?
4. Have the programs increased access to mental and behavioral health care? Are there disparities in access?

### Study Timeframe

Preliminary Report: November 2022

Proposed Final Report: January 2023

### Study Team

Team Lead	Casey Radostitz	(360) 786-5176	<a href="mailto:casey.radostitz@leg.wa.gov">casey.radostitz@leg.wa.gov</a>
Research Analyst	Amanda Eadrick	(360) 786-5174	<a href="mailto:amanda.eadrick@leg.wa.gov">amanda.eadrick@leg.wa.gov</a>
Project Coordinator	Valerie Whitener	(360) 786-5191	<a href="mailto:valerie.whitener@leg.wa.gov">valerie.whitener@leg.wa.gov</a>
Legislative Auditor	Keenan Konopaski	(360) 786-5187	<a href="mailto:keenan.konopaski@leg.wa.gov">keenan.konopaski@leg.wa.gov</a>

### JLARC Study Process



JOINT LEGISLATIVE AUDIT & REVIEW COMMITTEE

106 11th Ave SW, Olympia, WA 98501  
Email: [JLARC@leg.wa.gov](mailto:JLARC@leg.wa.gov) | Phone: (360) 786-5171



## Methodology

The methodology JLARC staff use when conducting analyses is tailored to the scope of each study, but generally includes the following:

- **Interviews** with stakeholders, agency representatives, and other relevant organizations or individuals.
- **Site visits** to entities that are under review.
- **Document reviews**, including applicable laws and regulations, agency policies and procedures pertaining to study objectives, and published reports, audits or studies on relevant topics.
- **Data analysis**, which may include data collected by agencies and/or data compiled by JLARC staff. Data collection sometimes involves surveys or focus groups.
- **Consultation with experts** when warranted. JLARC staff consult with technical experts when necessary to plan our work, to obtain specialized analysis from experts in the field, and to verify results.

The methods used in this study were conducted in accordance with Generally Accepted Government Auditing Standards.

More details about specific methods related to individual study objectives are described in the body of the report under the report details tab or in technical appendices.

## CONTACT JLARC Authors

[Casey Radostitz](#), Research Analyst, 360-786-5176

[Amanda Eadrick](#), Research Analyst, 360-786-5174

[Vivien Chen](#), Research Analyst, 360-786-5190

[Rebecca Connolly](#), Research Analyst, 360-786-5175

Valerie Whitener, Audit Coordinator

Keenan Konopaski, Legislative Auditor

Graphics:

Emily Martin, Committee Communications Assistant, 360-786-5288

## JLARC Members

### Senators

Bob Hasegawa

Liz Lovelett

Mark Mullet, Assistant Secretary

Ann Rivers

Rebecca Saldaña

Shelly Short

Lynda Wilson, Vice Chair

Keith Wagoner

### Representatives

Jake Fey

Noel Frame

Keith Goehner

Larry Hoff

Vicki Kraft

Ed Orcutt, Secretary

Gerry Pollet, Chair

Drew Stokesbary

Washington Joint Legislative Audit and Review Committee  
106 11th Ave SW, Suite 2500  
PO Box 40910  
Olympia, WA 98504-0910

Phone: 360-786-5171  
Email: [JLARC@leg.wa.gov](mailto:JLARC@leg.wa.gov)

